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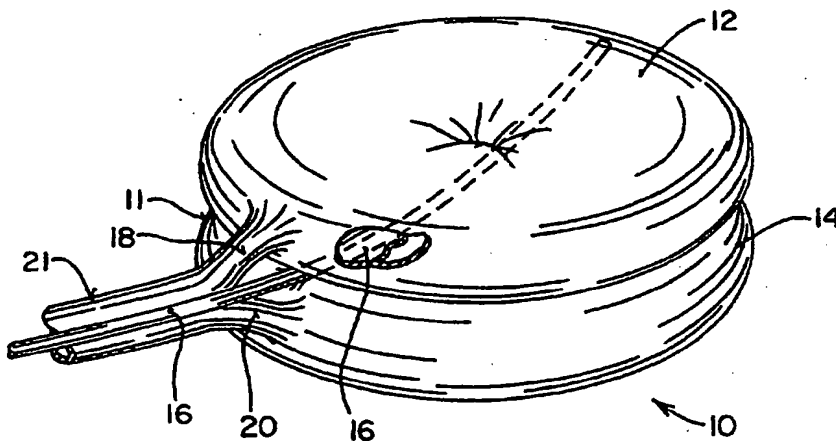
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(54) Title: IMPROVED INFLATABLE DEVICE FOR USE IN SURGICAL PROTOCOL RELATING TO FIXATION OF BONE



## (57) Abstract

A balloon (10) for use in compressing cancellous bone and marrow (also known as medullary bone and trabecular bone) against the inner cortex of bones whether the bones are fractured or not. The balloon comprises an inflatable, non-expandable balloon body (12, 14) for insertion into said bone. The body has a shape and size to compress at least a portion of the cancellous bone to form a cavity in the cancellous bone and to restore the original position of the outer cortical bone, if fractured or collapsed. The balloon is prevented from applying excessive pressure to the outer cortical bone. The wall or walls of the balloon are such that proper inflation of the balloon body is achieved to provide for optimum compression of all of the bone marrow. The balloon is folded so that it can be easily inserted into a bone. The balloon can be made to have a suction catheter (16). The main purpose of the balloon is the forming or enlarging of a cavity or passage in a bone, especially in, but not limited to, vertebral bodies.

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IMPROVED INFLATABLE DEVICE FOR USE IN  
SURGICAL PROTOCOL RELATING TO FIXATION OF BONE

This invention relates to improvements in the surgical treatment of bone conditions of the human and other animal bone systems and, more particularly, to an inflatable balloon-like device for use in treating such bone conditions. Osteoporosis, avascular necrosis and bone cancer are diseases of bone that predispose the bone to fracture or collapse. There are 2 million fractures each year in the United States, of which about 1.3 million are caused by osteoporosis. Avascular necrosis and bone cancers are more rare but can cause bone problems that are currently poorly addressed.

BACKGROUND OF THE INVENTION

In U.S. Patents 4,969,888 and 5,108,404, an apparatus and method are disclosed for the fixation of fractures or other conditions of human and other animal bone systems, both osteoporotic and non-osteoporotic. The apparatus and method are especially suitable for use in the fixation of, but not limited to, vertebral body compression fractures, Colles fractures and fractures of the proximal humerus.

The method disclosed in these two patents includes a series of steps which a surgeon or health care provider can perform to form a cavity in pathological bone (including but not limited to osteoporotic bone, osteoporotic fractured metaphyseal and epiphyseal bone, osteoporotic vertebral bodies, fractured osteoporotic vertebral bodies, fractures of vertebral bodies due to tumors especially round cell tumors, avascular necrosis

2

of the epiphyses of long bones, especially avascular necrosis of the proximal femur, distal femur and proximal humerus and defects arising from endocrine conditions).

The method further includes an incision in the skin (usually one incision, but a second small incision may also be required if a suction egress is used) followed by the placement of a guide pin which is passed through the soft tissue down to and into the bone.

The method further includes drilling the bone to be treated to form a cavity or passage in the bone, following which an inflatable balloon-like device is inserted into the cavity or passage and inflated. The inflation of the inflatable device causes a compacting of the cancellous bone and bone marrow against the inner surface of the cortical wall of the bone to further enlarge the cavity or passage. The inflatable device is then deflated and then is completely removed from the bone. A smaller inflatable device (a starter balloon) can be used initially, if needed, to initiate the compacting of the bone marrow and to commence the formation of the cavity or passage in the cancellous bone and marrow. After this has occurred, a larger, inflatable device is inserted into the cavity or passage to further compact the bone marrow in all directions.

A flowable biocompatible filling material, such as methacrylate cement or a synthetic bone substitute, is then directed into the cavity or passage and allowed to set to a hardened condition to provide structural support for the bone. Following this latter step, the insertion instruments are removed from the body and the incision in the skin is covered with a bandage.

While the apparatus and method of the above patents provide an adequate protocol for the fixation of bone, it has been found that the compacting of the bone marrow and/or the trabecular bone and/or cancellous bone

3

against the inner surface of the cortical wall of the bone to be treated can be significantly improved with the use of inflatable devices that incorporate additional engineering features not heretofore described and not properly controlled with prior inflatable devices in such patents. A need has therefore arisen for improvements in the shape, construction and size of inflatable devices for use with the foregoing apparatus and method, and the present invention satisfies such need.

Prior Techniques for the Manufacture of Balloons for In-Patient Use

A review of the prior art relating to the manufacture of balloons shows that a fair amount of background information has been amassed in the formation of guiding catheters which are introduced into cardiovascular systems of patients through the brachial or femoral arteries. However, there is a scarcity of disclosures relating to inflatable devices used in bone, and none for compacting bone marrow in vertebral bodies and long bones.

In a dilatation catheter, the catheter is advanced into a patient until a balloon is properly positioned across a lesion to be treated. The balloon is inflated with a radiopaque liquid at pressures above four atmospheres to compress the plaque of the lesion to thereby dilate the lumen of the artery. The balloon can then be deflated, then removed from the artery so that the blood flow can be restored through the dilated artery.

A discussion of such catheter usage technique is found and clearly disclosed in U.S. Patent 5,163,989. Other details of angioplasty catheter procedures, and details of balloons used in such procedures can be found in U.S. Patents 4,323,071, 4,332,254, 4,439,185,

4/

4,168,224, 4,516,672, 4,538,622, 4,554,929, and  
4,616,652.

Extrusions have also been made to form prism  
~~shaped balloons using molds which require very accurate~~  
machining of the interior surface thereof to form  
acceptable balloons for angioplastic catheters. However,  
this technique of extrusion forms parting lines in the  
balloon product which parting lines are limiting in the  
sense of providing a weak wall for the balloon itself.

Patent 5,163,989 discloses a mold and technique  
for molding dilatation catheters in which the balloon of  
the catheter is free of parting lines. The technique  
involves inflating a plastic member of tubular shape so  
as to press it against the inner molding surface which is  
heated. Inflatable devices are molded into the desired  
size and shape, then cooled and deflated to remove it  
from the mold. The patent states that, while the balloon  
of the present invention is especially suitable for  
forming prism-like balloons, it can also be used for  
forming balloons of a wide variety of sizes and shapes.

A particular improvement in the catheter art  
with respect to this patent, namely U.S. Patent  
4,706,670, is the use of a coaxial catheter with inner  
and outer tubing formed and reinforced by continuous  
helical filaments. Such filaments cross each other  
causing the shaft of the balloon to become shorter in  
length while the moving portion of the shank becomes  
longer in length. By suitably balancing the lengths and  
the angle of the weave of the balloon and moving portions  
of the filaments, changes in length can be made to offset  
each other. Thus, the position of the inner and outer  
tubing can be adjusted as needed to keep the balloon in a  
desired position in the blood vessel.

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Other disclosures relating to the insertion of inflatable devices for treating the skeleton of patients include the following:

U.S. Patent 4,313,434 relates to the fixation of a long bone by inserting a deflated flexible bladder into a medullary cavity, inflating the balloon bladder, sealing the interior of the long bone until healing has occurred, then removing the bladder and filling the opening through which the bladder emerges from the long bone.

U.S. Patent 5,102,413 discloses the way in which an inflatable bladder is used to anchor a metal rod for the fixation of a fractured long bone.

Other references which disclose the use of balloons and cement for anchoring of a prosthesis include U.S. Patents 5,147,366, 4,892,550, 4,697,584, 4,562,598, and 4,399,814.

A Dutch patent, NL 901858, discloses a means for fracture repair with a cement-impregnated bag which is inflated into a preformed cavity and allowed to harden.

It can be concluded from the foregoing review of the prior art that there is little or no substantive information on inflatable devices used to create cavities in bone. It does not teach the shape of the balloon which creates a cavity that best supports the bone when appropriately filled. It does not teach how to prevent balloons from being spherical when inflated, when this is desired. Current medical balloons can compress bone but are too small and generally have the wrong configuration and are generally not strong enough to accomplish adequate cavity formation in either the vertebral bodies or long bones of the body.

U.S. Patents 4,969,888 and 5,108,404 disclose a checker-shaped balloon for compressing cancellous bone,

6

but does not provide information on how this balloon remains in its shape when inflated.

Thus, the need continues for an improved inflatable device for use with pathological bones and the treatment thereof.

#### SUMMARY OF THE INVENTION

The present invention is directed to a balloon-like inflatable device or balloon for use in carrying out the apparatus and method of the above-mentioned patents 4,969,888 and 5,108,404. Such inflatable devices, hereinafter sometimes referred to as balloons, have shapes for compressing cancellous bone and marrow (also known as medullary bone or trabecular bone) against the inner cortex of bones whether the bones are fractured or not.

In particular, the present invention is directed to a balloon for use in treating a bone predisposed to fracture or to collapse. The balloon comprises an inflatable, non-expandable balloon body for insertion into said bone. The body has a predetermined shape and size when substantially inflated sufficient to compress at least a portion of the inner cancellous bone to create a cavity in the cancellous bone and to restore the original position of the outer cortical bone, if fractured or collapsed. The balloon body is restrained to create said predetermined shape and size so that the fully inflated balloon body is prevented from applying substantial pressure to the inner surface of the outer cortical bone if said bone is unfractured or uncollapsed.

In addition to the shape of the inflatable device itself, another aspect of importance is the construction of the wall or walls of the balloon such that proper inflation the balloon body is achieved to provide for optimum compression of all the bone marrow.



7

The material of the balloon is also desirably chosen so as to be able to fold the balloon s that it can be inserted quickly and easily into a bone using a guide pin and a cannula, yet can also withstand high pressures when inflated. The balloon can also include optional ridges or indentations which are left in the cavity after the balloon has been removed, to enhance the stability of the filler. Also, the inflatable device can be made to have an optional, built-in suction catheter. This is used to remove any fat or fluid extruded from the bone during balloon inflation in the bone. Also, the balloon body can be protected from puncture by the cortical bone or canula by being covered while inside the canula with an optional protective sleeve of suitable material, such as Kevlar or PET or other polymer or substance that can protect the balloon. The main purpose of the inflatable device, therefore, is the forming or enlarging of a cavity or passage in a bone, especially in, but not limited to, vertebral bodies.

The primary object of the present invention is to provide an improved balloon-like inflatable device for use in carrying out a surgical protocol of cavity formation in bones to enhance the efficiency of the protocol, to minimize the time prior to performing the surgery for which the protocol is designed and to improve the clinical outcome. These balloons approximate the inner shape of the bone they are inside of in order to maximally compress cancellous bone. They have additional design elements to achieve specific clinical goals. Preferably, they are made of inelastic material and kept in their defined configurations when inflated, by various restraints, including (but not limited to) use of inelastic materials in the balloon body, seams in the balloon body created by bonding or fusing separate pieces of material together, or by fusing or bonding together

8

opposing sides of the balloon body, woven material bonded inside or outside the balloon body, strings or bands placed at selected points in the balloon body, and

5 stacking balloons of similar or different sizes or shapes on top of each other by gluing or by heat fusing them together. Optional ridges or indentations created by the foregoing structures, or added on by bonding additional material, increases stability of the filler. Optional  
10 suction devices, preferably placed so that if at least one hole is in the lowest point of the cavity being formed, will allow the cavity to be cleaned before filling.

15 Among the various embodiments of the present invention are the following:

1. A doughnut (or torus) shaped balloon with an optional built-in suction catheter to remove fat and other products extruded during balloon expansion.

20 2. A balloon with a spherical outer shape surrounded by a ring-shaped balloon segment for body cavity formation.

25 3. A balloon which is kidney bean shaped in configuration. Such a balloon can be constructed in a single layer, or several layers stacked on top of each other.

4. A spherically shaped balloon approximating the size of the head of the femur (i.e. the proximal femoral epiphysis). Such a balloon can also be a hemisphere.

30 5. A balloon in the shape of a humpbacked banana or a modified pyramid shape approximating the configuration of the distal end of the radius (i.e. the distal radial epiphysis and metaphysis).

35 6. A balloon in the shape of a cylindrical ellipse to approximate the configuration of either the

9

medial half or the lateral half of the proximal tibial epiphysis. Such a balloon can also be constructed to approximate the configuration of both halves of the proximal tibial epiphysis.

5                   7. A balloon in the shape of sphere on a base to approximate the shape of the proximal humeral epiphysis and metaphysis with a plug to compress cancellous bone into the diaphysis, sealing it off.

10                   8. A balloon device with optional suction device.

9. Protective sheaths to act as puncture guard members optionally covering each balloon inside its catheter.

15                   The present invention, therefore, provides improved, inflatable devices for creating or enlarging a cavity or passage in a bone wherein the devices are inserted into the bone. The configuration of each device is defined by the surrounding cortical bone and adjacent internal structures, and is designed to occupy about 70-  
20                   90% of the volume of the inside of the bone, although balloons that are as small as about 40% and as large as about 99% are workable for fractures. In certain cases, usually avascular necrosis, the balloon size may be as small as 10% of the cancellous bone volume of the area of  
25                   bone being treated, due to the localized nature of the fracture or collapse. The fully expanded size and shape of the balloon is limited by additional material in selected portions of the balloon body whose extra thickness creates a restraint as well as by either  
30                   internal or external restraints formed in the device including, but not limited to, mesh work, a winding or spooling of material laminated to portions of the balloon body, continuous or non-continuous strings across the inside held in place at specific locations by glue inside  
35                   or by threading them through to the outside and seams in

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the balloon body created by bonding two pieces of body together or by bonding opposing sides of a body through glue or heat. Spherical portions of balloons may be restrained by using inelastic materials in the

5 construction of the balloon body, or may be additionally restrained as just described. The material of the balloon is preferably a non-elastic material, such as polyethylene tetrathalate (PET), Kevlar or other  
10 patented medical balloon materials. It can also be made of semi-elastic materials, such as silicone or elastic material such as latex, if appropriate restraints are incorporated. The restraints can be made of a flexible, inelastic high tensile strength material including, but not limited, to those described in U.S. Patent 4,706,670.  
15 The thickness of the balloon wall is typically in the range of 2/1000ths to 25/1000ths of an inch, or other thicknesses that can withstand pressures of up to 250-400 psi.

20 A primary goal of percutaneous vertebral body augmentation of the present invention is to provide a balloon which can create a cavity inside the vertebral body whose configuration is optimal for supporting the bone. Another important goal is to move the top of the vertebral body back into place to retain height where  
25 possible, however, both of these objectives must be achieved without fracturing the cortical wall of the vertebral body. This feature could push vertebral bone toward the spinal cord, a condition which is not to be desired.

30 The present invention satisfies these goals through the design of inflatable devices to be described. Inflating such a device compresses the calcium-containing soft cancellous bone into a thin shell that lines the inside of the hard cortical bone creating a large cavity.

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At the same time, the biological components (red blood cells, bone progenitor cells) within the soft bone are pressed out and removed by rinsing during the procedure. The body recreates the shape of the inside of an unfractured vertebral body, but optimally stops at approximately 70 to 90% of the inner volume. The balloons of the present invention are inelastic, so maximally inflating them can only recreate the predetermined shape and size. However, conventional balloons become spherical when inflated. Spherical shapes will not allow the hardened bone cement to support the spine adequately, because they make single points of contact on each vertebral body surface (the equivalent of a circle inside a square, or a sphere inside a cylinder). The balloons of the present invention recreate the flat surfaces of the vertebral body by including restraints that keep the balloon in the desired shape. This maximizes the contacts between the vertebral body surfaces and the bone cement, which strengthens the spine. In addition, the volume of bone cement that fills these cavities creates a thick mantle of cement (4 mm or greater), which is required for appropriate compressive strength. Another useful feature, although not required, are ridges in the balloons which leave their imprint in the lining of compressed cancellous bone. The resulting bone cement "fingers" provide enhanced stability.

The balloons which optimally compress cancellous bone in vertebral bodies are the balloons listed as balloon types 1, 2 and 3 above. These balloons are configured to approximate the shape of the vertebral body. Since the balloon is chosen to occupy 70 to 90% of the inner volume, it will not exert undue pressure on the sides of the vertebral body, thus the vertebral body will not expand beyond its normal size (fractured or unfractured). However, since the balloon has the height

12

of an unfractured vertebral body, it can move the top, which has collapsed, back to its original position.

A primary goal of percutaneous proximal humeral augmentation is to create a cavity inside the proximal humerus whose configuration is optimal for supporting the proximal humerus. Another important goal is to help realign the humeral head with the shaft of the humerus when they are separated by a fracture. Both of these goals must be achieved by exerting pressure primarily on the cancellous bone, and not the cortical bone. Undue pressure against the cortical bone could conceivably cause a worsening of a shoulder fracture by causing cortical bone fractures.

The present invention satisfies these goals through the design of the inflatable devices to be described. Inflating such a device compresses the cancellous bone against the cortical walls of the epiphysis and metaphysis of the proximal humerus thereby creating a cavity. In some cases, depending on the fracture location, the balloon or inflatable device may be used to extend the cavity into the proximal part of the humeral diaphysis.

Due to the design of the "sphere on a stand" balloon (described as number 7 above), the cavity made by this balloon recreates or approximates the shape of the inside cortical wall of the proximal humerus. The approximate volume of the cavity made by the "spherical on a stand balloon" is 70 to 90% that of the proximal humeral epiphysis and metaphysis, primarily, but not necessarily exclusive of, part of the diaphysis. The shape approximates the shape of the humeral head. The "base" is designed to compress the trabecular bone into a "plug" of bone in the distal metaphysis or proximal diaphysis. This plug of bone will prevent the flow of injectable material into the shaft of the humerus,

13

improving the clinical outcome. The sphere can also be used without a base.

5 A primary goal of percutaneous distal radius augmentation is to create a cavity inside the distal radius whose configuration is optimal for supporting the distal radius. Another important goal is to help fine tune fracture realignment after the fracture has been partially realigned by finger traps. Both of these goals must be achieved by exerting pressure primarily on the  
10 cancellous bone and not on the cortical bone. Excessive pressure against the cortical bone could conceivably cause cortical bone fractures, thus worsening the condition.

15 The present invention satisfies these goals through the design of inflatable devices either already described or to be described.

The design of the "humpbacked banana", or modified pyramid design (as described as number 5 above), approximates the shape of the distal radius and  
20 therefore, the cavity made by this balloon approximates the shape of the distal radius as well. The approximate volume of the cavity to be made by this humpbacked banana shaped balloon is 70 to 90% that of the distal radial epiphysis and metaphysis primarily of, but not  
25 necessarily exclusive of, some part of the distal radial diaphysis. Inflating such a device compresses the cancellous bone against the cortical walls of the epiphysis and metaphysis of the distal radius in order to create a cavity. In some cases, depending on the  
30 fracture location, the osseous balloon or inflatable device may be used to extend the cavity into the distal part of the radial diaphysis.

35 A primary goal of percutaneous femoral head (or humeral head) augmentation is to create a cavity inside the femoral head (or humeral head) whose configuration is

14

optimal for supporting the femoral head. Another important goal is to help compress avascular (or aseptic) necrotic bone or support avascular necrotic bone is the femoral head. This goal may include the realignment of avascular bone back into the position it previously occupied in the femoral head in order to improve the spherical shape of the femoral head. These goals must be achieved by exerting pressure primarily on the cancellous bone inside the femoral head.

The present invention satisfied these goals through the design of inflatable devices either already described or to be described.

The design of the spherical osseous balloon (described as balloon type 4 above) approximates the shape of the femoral head and therefore creates a cavity which approximates the shape of the femoral head as well. (It should be noted that the spherical shape of this inflatable device also approximates the shape of the humeral head and would, in fact, be appropriate for cavity formation in this osseous location as well.) Inflating such a device compresses the cancellous bone of the femoral head against its inner cortical walls in order to create a cavity. In some cases, depending upon the extent of the avascular necrosis, a smaller or larger cavity inside the femoral head will be formed. In some cases, if the area of avascular necrosis is small, a small balloon will be utilized which might create a cavity only 10 to 15% of the total volume of the femoral head. If larger areas of the femoral head are involved with the avascular necrosis, then a larger balloon would be utilized which might create a much larger cavity, approaching 80 to 90% of the volume of the femoral head.

The hemispherical balloon approximates the shape of the top half of the femoral (and humeral) head, and provides a means for compacting cancellous bone in an



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area of avascular necrosis or small fracture without disturbing the rest of the head. This makes it easier to do a future total joint replacement if required.

5 A primary goal of percutaneous proximal tibial augmentation is to create a cavity inside the proximal tibia whose configuration is optimal for supporting either the medial or lateral tibial plateaus. Another important goal is to help realign the fracture fragments of tibial plateau fractures, particularly those features  
10 with fragments depressed below (or inferior to) their usual location. Both of these objectives must be achieved by exerting pressure on primarily the cancellous bone and not the cortical bone. Pressure on the cortical bone could conceivably cause worsening of the tibial  
15 plateau fracture.

The present invention satisfies these goals through the design of the inflatable devices to be described. Inflating such a device compresses the cancellous bone against the cortical walls of the medial  
20 or lateral tibial plateau in order to create a cavity.

Due to the design of the "elliptical cylinder" balloon (described as balloon type 6 above) the cavity made by this balloon recreates or approximates the shape of the cortical walls of either the medial or lateral  
25 tibial plateaus. The approximate volume of the cavity to be made by the appropriate elliptical cylindrical balloon is 50 to 90% of the proximal epiphyseal bone of either the medial half or the lateral half of the tibial.

30 Other objects of the present invention will become apparent as the following specification progresses, reference being had to the accompanying drawings for an illustration of the invention.

16

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a perspective view of a first embodiment of the balloon of the present invention, the embodiment being in the shape of a stacked doughnut assembly;

Fig. 2 is a vertical section through the balloon of Fig. 1 showing the way in which the doughnut portions of the balloon of Fig. 1, fit into a cavity of a vertebral body;

Fig. 3 is a schematic view of another embodiment of the balloon of the present invention showing three stacked balloons and string-like restraints for limiting the expansion of the balloon in directions of inflation;

Fig. 4 is a top plan view of a spherical balloon having a cylindrical ring surrounding the balloon;

Fig. 5 is a vertical section through the spherical balloon and ring of Fig. 4;

Fig. 6 shows an oblong-shaped balloon with a catheter extending into the central portion of the balloon;

Fig. 6A is a perspective view of the way in which a catheter is arranged relative to the inner tubes for inflating the balloon of Fig. 6;

Fig. 7 is a suction tube and a contrast injection tube for carrying out the inflation of the balloon and removal of debris caused by expansion from the balloon itself;

Fig. 8 is a vertical section through a balloon after it has been deflated and as it is being inserted into the vertebral body of a human;

Figs. 9 and 9A are side elevational views of a cannula showing how the protective sleeve or guard member expands when leaving the cannula;

17

Fig. 9B is a vertical section through a vertebral bone into which an access hole has been drilled;

5 Fig. 10 is a perspective view of another embodiment of the balloon of the present invention formed in the shape of a kidney bean;

Fig. 11 is a perspective view of the vertebral bone showing the kidney shaped balloon of Fig. 10 inserted in the bone and expanded;

10 Fig. 12 is a top view of a kidney shaped balloon formed of several compartments by a heating element or branding tool;

Fig. 13 is a cross-sectional view taken along line 13-13 of Fig. 12 but with two kidney shaped balloons that have been stacked.

15 Fig. 14 is a view similar to Fig. 11 but showing the stacked kidney shaped balloon of Fig. 13 in the vertebral bone;

Fig. 15 is a top view of a kidney balloon showing outer tufts holding inner strings in place interconnecting the top and bottom walls of the balloon;

Fig. 16 is a cross sectional view taken along lines 16-16 of Fig. 15;

20 Fig. 17A is a dorsal view of a humpback banana balloon in a right distal radius;

Figs. 17B is a cross sectional view of Fig. 17A taken along line 17B-17B of Fig. 17A;

Fig. 18 is a spherical balloon with a base in a proximal humerus viewed from the front (anterior) of the left proximal humerus;

30 Fig. 19A is the front (anterior) view of the proximal tibia with the elliptical cylinder balloon introduced beneath the medial tibial plateau;

Fig. 19B is a three quarter view of the balloon of Fig. 19A;

18

Fig. 19C is a side elevati nal view of the balloon of Fig. 19A;

Fig. 19D is a top plan view of the balloon of Fig. 19A;

5 Fig. 20 is a spherically shaped balloon for treating avascular necrosis of the head of the femur (or humerus) as seen from the front (anterior) of the left hip; and

10 Fig. 20A is a side view of a hemispherically shaped balloon for treating avascular necrosis of the head of the femur (or humerus).

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

##### 15 BALLOONS FOR VERTEBRAL BODIES

A first embodiment of the balloon (Fig. 1) of the present invention is broadly denoted by the numeral 10 and includes a balloon body 11 having a pair of hollow, inflatable, non-expandable parts 12 and 14 of flexible material, such as PET or Kevlar. Parts 12 and 14 have a suction tube 16 therebetween for drawing fats and other debris by suction into tube 16 for transfer to a remote disposal location. Catheter 16 has one or more suction holes so that suction may be applied to the open end of tube 16 from a suction source (not shown).

20 The parts 12 and 14 are connected together by an adhesive which can be of any suitable type. Parts 12 and 14 are doughnut-shaped as shown in Fig. 1 and have tubes 18 and 20 which communicate with and extend away from the parts 12 and 14, respectively, to a source of inflating liquid under pressure (not shown). The liquid can be any sterile biocompatible solution. The liquid inflates the balloon 10, particularly parts 12 and 14 thereof after the balloon has been inserted in a collapsed condition (Fig. 8) into a bone to be treated,

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such as a vertebral bone 22 in Fig. 2. The above-mentioned patents 4,969,888 and 5,108,404 disclose the use of a guide pin and cannula for inserting the balloon into bone to be treated when the balloon is deflated and has been inserted into a tube and driven by the catheter into the cortical bone where the balloon is inflated.

Fig. 8 shows a deflated balloon 10 being inserted through a cannula 26 into bone. The balloon in cannula 26 is deflated and is forced through the cannula by exerting manual force on the catheter 21 which extends into a passage 28 extending into the interior of the bone. The catheter is slightly flexible but is sufficiently rigid to allow the balloon to be forced into the interior of the bone where the balloon is then inflated by directing fluid into tube 88 whose outlet ends are coupled to respective parts 12 and 14.

In use, balloon 10 is initially deflated and, after the bone to be filled with the balloon has been prepared to receive the balloon with drilling, the deflated balloon is forced into the bone in a collapsed condition through cannula 26. The bone is shown in Fig. 2. The balloon is oriented preferably in the bone such that it allows minimum pressure to be exerted on the bone marrow and/or cancellous bone if there is no fracture or collapse of the bone. Such pressure will compress the bone marrow and/or cancellous bone against the inner wall of the cortical bone, thereby compacting the bone marrow of the bone to be treated and to further enlarge the cavity in which the bone marrow is to be replaced by a biocompatible, flowable bone material.

The balloon is then inflated to compact the bone marrow and/or cancellous bone in the cavity and, after compaction of the bone marrow and/or cancellous bone, the balloon is deflated and removed from the cavity. While inflation of the balloon and compaction

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occurs, fats and other debris are sucked out of the space between and around parts 12 and 14 by applying a suction force to catheter tube 16. Following this, and following the compaction of the bone marrow, the balloon is

5 deflated and pulled out of the cavity by applying a manual pulling force to the catheter tube 21.

The second embodiment of the inflatable device of the present invention is broadly denoted by the numeral 60 and is shown in Figs. 4 and 5. Balloon 60  
10 includes a central spherical part 62 which is hollow and which receives an inflating liquid under pressure through a tube 64. The spherical part is provided with a spherical outer surface 66 and has an outer periphery which is surrounded substantially by a ring shaped part  
15 68 having tube segments 70 for inflation of part 68. A pair of passages 69 interconnect parts 62 and 68. A suction tube segment 72 draws liquid and debris from the bone cavity being formed by the balloon 60.

Provision can be made for a balloon sleeve 71  
20 for balloon 60 and for all balloons disclosed herein. A balloon sleeve 71 (Fig. 9) is shiftably mounted in an outer tube 71a and can be used to insert the balloon 60 when deflated into a cortical bone. The sleeve 71 has resilient fingers 71b which bear against the interior of  
25 the entrance opening 71c of the vertebral bone 22 (Fig. 9A) to prevent tearing of the balloon. Upon removal of the balloon sleeve, liquid under pressure will be directed into tube 64 which will inflate parts 62 and 68 so as to compact the bone marrow within the cortical  
30 bone. Following this, balloon 60 is deflated and removed from the bone cavity.

Figs. 6 and 6A show several views of a modified doughnut shape balloon 80 of the type shown in Figs. 1 and 2, except the doughnut shapes of balloon 80 are not  
35 stitched onto one another. In Fig. 6, balloon 80 has a

21

pear-shaped outer convex surface 82 which is made up of a first hollow part 84 and a second hollow part 85. A tube 88 is provided for directing liquid into the two parts along branches 90 and 92 to inflate the parts after the parts have been inserted into the medullary cavity of a bone. A catheter tube 16 is inserted into the space 96 between two parts of the balloon 80. An adhesive bonds the two parts 84 and 85 together at the interface thereof.

Fig. 6A shows the way in which the catheter tube 16 is inserted into the space or opening 96 between the two parts of the balloon 80.

Fig. 7 shows tube 88 of which, after directing inflating liquid into the balloon 80, can inject contrast material into the balloon 80 so that x-rays can be taken of the balloon with the inflating material therewithin to determine the proper placement of the balloon. Tube 16 is also shown in Fig. 6, it being attached in some suitable manner to the outer side wall surface of tube 88.

Still another embodiment of the invention is shown in Fig. 3 which is similar to Fig. 1 except that it is round and not a doughnut and includes an inflatable device 109 having three balloon units 110, 112 and 114 which are inflatable and which have string-like restraints 117 which limit the expansion of the balloon units in a direction transverse to the longitudinal axes of the balloon units. The restraints are made of the same or similar material as that of the balloon so that they have some resilience but substantially no expansion capability.

A tube system 115 is provided to direct liquid under pressure into balloon units 110, 112 and 114 so that liquid can be used to inflate the balloon units when placed inside the bone in a deflated state. Following

22

the proper inflation and compaction of the bone marrow, the balloon can be removed by deflating it and pulling it outwardly of the bone being treated. The restraints keep the opposed sides 77 and 79 substantially flat and parallel with each other.

5 In Fig. 10, another embodiment of the inflatable balloon is shown. The device is a kidney shaped balloon body 130 having a pair of opposed kidney shaped side walls 132 which are adapted to be collapsed and to cooperate with a continuous end wall 134 so that 10 the balloon 130 can be forced into a bone 136 shown in Fig. 11. A tube 138 is used to direct inflating liquid into the balloon to inflate the balloon and cause it to assume the dimensions and location shown vertebral body 136 in Fig. 11. Device 130 will compress the cancellous 15 bone if there is no fracture or collapse of the cancellous bone. The restraints for this action are due to the side and end walls of the balloon.

20 Fig. 12 shows a balloon 140 which is also kidney shaped and has a tube 142 for directing an inflatable liquid into the tube for inflating the balloon. The balloon is initially a single chamber bladder but the bladder can be branded along curved lines or strips 141 to form attachment lines 144 which take the 25 shape of side-by-side compartments 146 which are kidney shaped as shown in Fig. 13. The branding causes a welding of the two sides of the bladder to occur since the material is standard medical balloon material, which is similar to plastic and can be formed by heat.

30 Fig. 14 is a perspective view of a vertebral body 147 containing the balloon of Fig. 12, showing a double stacked balloon 140 when it is inserted in vertebral bone 147.

35 Fig. 15 is a view similar to Fig. 10 except that tufts 155, which are string-like restraints, extend



23

between and are connected to the side walls 152 of inflatable device 150 and limit the expansion of the side walls with respect to each other, thus rendering the side walls generally parallel with each other. Tube 88 is  
5 used to fill the kidney shaped balloon with an inflating liquid in the manner described above.

The dimensions for the vertebral body balloon will vary across a broad range. The heights (H, Fig. 11) of the vertebral body balloon for both lumbar and  
10 thoracic vertebral bodies typically range from 0.5 cm to 3.5 cm. The anterior to posterior (A, Fig. 11) vertebral body balloon dimensions for both lumbar and thoracic vertebral bodies range from 0.5 cm to 3.5 cm. The side to side (L, Fig. 11) vertebral body dimensions for  
15 thoracic vertebral bodies will range from 0.5 cm to 3.5 cm. The side to side vertebral body dimensions for lumbar vertebral bodies will range from 0.5 cm to 5.0 cm.

The eventual selection of the appropriate balloon for, for instance, a given vertebral body is  
20 based upon several factors. The anterior-posterior (A-P) balloon dimension for a given vertebral body is selected from the CT scan or plain film x-ray views of the vertebral body. The A-P dimension is measured from the internal cortical wall of the anterior cortex to the  
25 internal cortical wall of the posterior cortex of the vertebral body. In general, the appropriate A-P balloon dimension is 5 to 7 millimeters less than this measurement.

The appropriate side to side balloon dimensions  
30 for a given vertebral body is selected from the CT scan or from a plain film x-ray view of the vertebral body to be treated. The side to side distance is measured from the internal cortical walls of the side of the vertebral bone. In general, the appropriate side to side balloon  
35 dimension is 5 to 7 millimeters less than this

24

measurement by the addition of the lumbar vertebral body tends to be much wider than side to side dimension than their A-P dimension. In thoracic vertebral bodies, the side to side dimension and their A-P dimensions are almost equal.

The height dimensions of the appropriate vertebral body balloon for a given vertebral body is chosen by the CT scan or x-ray views of the vertebral bodies above and below the vertebral body to be treated. The height of the vertebral bodies above and below the vertebral body to be treated are measured and averaged. This average is used to determine the appropriate height dimension of the chosen vertebral body balloon.

#### BALLOONS FOR LONG BONES

Long bones which can be treated with the use of balloons of the present invention include distal radius (larger arm bone at the wrist), proximal tibial plateau (leg bone just below the knee), proximal humerus (upper end of the arm at the shoulder), and proximal femoral head (leg bone in the hip).

#### Distal Radius Balloon

For the distal radius, a balloon 160 is shown in the distal radius 152 and the balloon has a shape which approximates a pyramid but more closely can be considered the shape of a humpbacked banana in that it substantially fills the interior of the space of the distal radius to force cancellous bone 154 lightly against the inner surface 156 of cortical bone 158.

The balloon 160 has a lower, conical portion 159 which extends downwardly into the hollow space of the distal radius 152, and this conical portion 159 increases in cross section as a central distal portion 161 is approached. The cross section of the balloon 160 is

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shown at a central location (Fig. 17B) and this location is near the widest location of the balloon. The upper end of the balloon, denoted by the numeral 162, converges to the catheter 88 for directing a liquid into the balloon for inflating the same to force the cancellous bone against the inner surface of the cortical bone. The shape of the balloon 160 is determined and restrained by tufts formed by string restraints 165. These restraints are optional and provide additional strength to the balloon body 160, but are not required to achieve the desired configuration. The balloon is placed into and taken out of the distal radius in the same manner as that described above with respect to the vertebral bone.

The dimensions of the distal radius balloon vary as follows:

The proximal end of the balloon (i.e. the part nearest the elbow) is cylindrical in shape and will vary from 0.5 x 0.5 cm to 1.8 x 1.8 cm.

The length of the distal radius balloon will vary from 1.0 cm to 12.0 cm.

The widest medial to lateral dimension of the distal radius balloon, which occurs at or near the distal radio-ulnar joint, will measure from 1.0 cm to 2.5 cm.

The distal anterior-posterior dimension of the distal radius balloon will vary from 0.5 to 3.0 cm.

#### Proximal Humerus Fracture Balloon

The selection of the appropriate balloon size to treat a given fracture of the distal radius will depend on the radiological size of the distal radius and the location of the fracture.

In the case of the proximal humerus 169, a balloon 166 shown in Fig. 18 is spherical and has a base design. It compacts the cancellous bone 168 in a proximal humerus 169. A mesh 170, embedded or laminated

26

and/or winding, may be used to form a neck 172 on the balloon 166, and second mesh 170a may be used to conform the bottom of the base 172a to the shape of the inner cortical wall at the start of the shaft. These

5 restraints provide additional strength to the balloon body, but the configuration can be achieved through molding of the balloon body. This is so that the cancellous bone will be as shown in the compacted region surrounding the balloon 166 as shown in Fig. 18. The  
10 cortical bone 173 is relatively wide at the base 174 and is thin-walled at the upper end 175. The balloon 166 has a feed tube 177 into which liquid under pressure is forced into the balloon to inflate it to lightly compact the cancellous bone in the proximal humerus. The balloon  
15 is inserted into and taken out of the proximal humerus in the same manner as that described above with respect to the vertebral bone.

The dimensions of the proximal humerus fracture balloon vary as follows:

20 The spherical end of the balloon will vary from 1.0 x 1.0 cm to 3.0 x 3.0 cm.

The neck of the proximal humeral fracture balloon will vary from 0.8 x 0.8 cm to 3.0 x 3.0 cm.

25 The width of the base portion or distal portion of the proximal humeral fracture balloon will vary from 0.5 x 0.5 cm to 2.5 x 2.5 cm.

The length of the balloon will vary from 4.0 cm to 14.0 cm.

30 The selection of the appropriate balloon to treat a given proximal humeral fracture depends on the radiologic size of the proximal humerus and the location of the fracture.

#### Proximal Tibial Plateau Fracture Balloon

27

The tibial fracture is shown in Fig. 19A in which a balloon 180 is placed in one side 182 of a tibia 183. The balloon, when inflated, compacts the cancellous bone in the layer 184 surrounding the balloon 180. A cross section of the balloon is shown in Fig. 19C wherein the balloon has a pair of opposed sides 185 and 187 which are interconnected by restraints 188 which can be in the form of strings or flexible members of any suitable construction. The main purpose of the restraints is to make the sides 185 and 187 substantially parallel with each other and non-spherical. A tube 190 is coupled to the balloon 180 to direct liquid into and out of the balloon. The ends of the restraints are shown in Figs. 19B and 19D and denoted by the numeral 191. The balloon is inserted into and taken out of the tibia in the same manner as that described above with respect to the vertebral bone. Fig. 19B shows a substantially circular configuration for the balloon; whereas, Fig. 19D shows a substantially elliptical version of the balloon.

The dimensions of the proximal tibial plateau fracture balloon vary as follows:

The thickness or height of the balloon will vary from 0.5 cm to 5.0 cm.

The anterior/posterior (front to back) dimension will vary from 1.0 cm to 6.0 cm.

The side to side (medial to lateral) dimension will vary from 1.0 cm to 6.0 cm.

The selection of the appropriate balloon to treat a given tibial plateau fracture will depend on the radiological size of the proximal tibial and the location of the fracture.

#### Femoral Head Balloon

In the case of the femoral head, a balloon 200 is shown as having been inserted inside the cortical bone

28

202 of the femoral head which is thin at the outer end  
204 of the femur and which can increase in thickness at  
the lower end 206 of the femur. The cortical bone

~~surrounds the cancellous bone 207 and this bone is~~

5 compacted by the inflation of balloon 200. The tube for  
directing liquid for inflation purposes into the balloon  
is denoted by the numeral 209. It extends along the  
femoral neck and is directed into the femoral head which  
is generally spherical in configuration. Fig. 20A shows  
10 that the balloon, denoted by the numeral 200a, can be  
hemispherical as well as spherical, as shown in Fig. 20.  
The balloon 200 is inserted into and taken out of the  
femoral head in the same manner as that described with  
respect to the vertebral bone. The hemispherical shape  
15 is maintained in this example by bonding overlapping  
portions of the bottom, creating pleats 200b as shown in  
Fig. 20A.

The dimensions of the femoral head balloon vary  
as follows:

20 The diameter of the femoral head balloon will  
vary from 1.0 cm to up to 4.5 cm. The appropriate size  
of the femoral head balloon to be chosen depends on the  
radiological or CT scan size of the head of the femur and  
the location and size of the avascular necrotic bone.  
25 The dimensions of the hemispherical balloon are the same  
as the those of the spherical balloon, except that  
approximately one half is provided.

29

IN THE CLAIMS:

1                   1.    A balloon for use in treating a bone  
2    predisposed to fracture or to collapse, or that is fractured  
3    or collapsed, comprising:

4                    an inflatable, non-expandable balloon body for  
5    insertion into said bone, said body having a predetermined  
6    shape and size, when substantially fully inflated,  
7    sufficient to compress at least a portion of the inner  
8    cancellous bone to create a cavity in said cancellous bone,  
9    said balloon body being restrained to create said  
10   predetermined shape and size so that the fully inflated  
11   balloon is prevented from applying excessive pressure to th  
12   inner surface of the outer cortical bone if said bone is  
13   unfractured or uncollapsed.

1                   2.    A balloon as set forth in Claim 1, wherein  
2    said balloon body has restraining means to limit the size of  
3    said body.

1                   3.    A balloon as set forth in Claim 1, wherein  
2    said balloon body has restraining means to limit the shape  
3    of said body.

1                   4.    A balloon as set forth in Claim 1, wherein  
2    said body has a number of corners, said corners defining a  
3    restraint to restrain said balloon body.

1                   5.    A balloon as set forth in Claim 1, wherein  
2    said body includes a pair of stacked body parts having means  
3    for coupling the body parts together, the coupling means of  
4    said body parts defining a restraint to restrain the balloon  
5    body.

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1           6. A balloon as set forth in Claim 1, wherein is  
2 included a seam in the body, said seam defining a restraint  
3 to restrain the balloon body.

1           7. A balloon as set forth in Claim 1, wherein is  
2 included a number of strings extending between the sides of  
3 the body, said strings defining at least part of a restraint  
4 to restrain the balloon body.

1           8. A balloon as set forth in Claim 1, wherein is  
2 included internal meshwork, said meshwork defining at least  
3 part of a restraint to restrain the balloon body.

1           9. A balloon as set forth in Claim 1, wherein is  
2 included external meshwork, said meshwork defining at least  
3 part of a restraint to restrain the balloon body.

1           10. A balloon as set forth in Claim 1, wherein  
2 said body is of a material capable of withstanding fluid  
3 pressures within the cortical bone of 50 to 400 psi.

1           11. A balloon as set forth in Claim 1, wherein  
2 said body has an outer surface adapted to assume the normal  
3 configuration of the inner surface of the cortical bone for  
4 compressing cancellous bone in a human lumbar vertebral  
5 body.

1           12. A balloon as set forth in Claim 11, wherein  
2 said body has a height in the range of 0.5 centimeter to 3.5  
3 centimeters, there being an anterior-to-posterior dimension  
4 in the range of 0.5 centimeters to 3.5 centimeters, the  
5 side-to-side dimension of the body being in the range of 0.5  
6 centimeters to 5.0 centimeters.



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1           13. A balloon as set forth in Claim 1, wherein  
2 the body has an outer surface adapted to assume the normal  
3 configuration of the inner surface of the cortical bone for  
4 compressing cancellous bone in the human thoracic vertebral  
5 body.

1           14. A balloon as set forth in Claim 13, wherein  
2 said body has a height in the range of 0.5 to 3.5  
3 centimeters, and having an anterior-to-posterior dimension  
4 in the range of 0.5 to 3.5 centimeters, and having a side-  
5 to-side dimension in the range of 0.5 to 3.5 centimeters.

1           15. A balloon as set forth in Claim 1, wherein  
2 said body has an outer surface adapted to assume the normal  
3 configuration of the inner surface of the cortical bone for  
4 compressing cancellous bone for compressing cancellous bone  
5 in the human distal radius.

1           16. A balloon as set forth in Claim 15, wherein  
2 said body has a proximal end whose dimensions range from 0.5  
3 x 0.5 to 1.8 x 1.8 cm, whose length ranges from 1.0 to 12.0  
4 cm, whose widest medial lateral dimension ranges from 1.0 to  
5 2.5 cm and whose distal anterior-posterior dimension ranges  
6 from 0.5 to 3.0 cm.

1           17. A balloon as set forth in Claim 1, wherein  
2 the body has an outer surface adapted to assume the normal  
3 configuration of the inner surface of the cortical bone for  
4 compressing cancellous bone in a human tibial plateau.

1           18. A balloon as set forth in Claim 17, wherein  
2 said body has a height ranging from 0.5 to 5.0 cm, the  
3 anterior-posterior dimension ranging from 1.0 to 6.0 cm, and  
4 the medial and lateral dimension ranging from 1.0 to 6.0 cm.

32

1           19. A balloon as set forth in Claim 11, wherein  
2           said body has an outer surface adapted to assume the normal  
3           configuration of the inner surface of the cortical bone for  
4           compressing cancellous bone in the proximal humerus.

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1           20. A balloon as set forth in Claim 12, wherein  
2           said body has a spherical portion whose dimensions vary from  
3           1.0 x 1.0 cm to 3.0 x 3.0 cm, whose neck will range from 0.8  
4           x 0.8 cm to 3.0 x 3.0 cm, the width of the base portion  
5           ranging from 0.5 x 0.5 cm to 2.5 by 2.5 cm, and whose length  
6           ranges from 4.0 to 14.0 cm.

1           21. A balloon as set forth in Claim 11, wherein  
2           said body has an outer surface adapted to assume the normal  
3           configuration of the inner surface of the cortical bone for  
4           compressing cancellous bone in the femoral head.

1           22. A balloon as set forth in Claim 12, wherein  
2           said body has a diameter ranging from 1.0 to 4.5 cm.

1           23. A balloon as set forth in Claim 1, wherein  
2           the material of the body is taken from the group consisting  
3           of Kevlar and polyethylene tetraphthalate (PET).

1           24. A balloon as set forth in Claim 1, wherein  
2           said balloon is doughnut shaped.

1           25. A balloon as set forth in Claim 1, wherein  
2           said balloon body includes a central part having a  
3           substantially spherical outer surface and a ring-shaped part  
4           surrounding the spherical part.

1           26. A balloon as set forth in Claim 25, wherein  
2           the part is spherical.

## 33

1           27. A balloon as set forth in Claim 25, wherein  
2           the part is hemispherical.

1           28. A balloon as set forth in Claim 1, including  
2           a number of elongated balloon units defining said balloon  
3           body, and restraint means coupling the balloon units  
4           together to restrict the expansion thereof in the direction  
5           transverse to the longitudinal axes of the units.

1           29. A balloon as set forth in Claim 1, wherein  
2           the balloon is formed of a pair of hollow, stacked  
3           inflatable parts, each part having a pair of opposed faces,  
4           and means bonding the adjacent faces of the parts together.

1           30. A balloon as set forth in Claim 29, wherein  
2           the balloon parts have a substantially circular outer  
3           periphery.

1           31. A balloon as set forth in Claim 29, wherein  
2           the balloon parts are doughnut shaped.

1           32. A balloon as set forth in Claim 29, wherein  
2           is included a catheter tube between said balloon parts for  
3           removing fats and debris.

1           33. A balloon as set forth in Claim 1, wherein  
2           the balloon is kidney bean shaped.

1           34. A balloon as set forth in Claim 1, wherein  
2           the balloon has a number of restraints interconnecting the  
3           end walls to limit expansion.

1           35. A balloon as set forth in Claim 1, wherein  
2           the end walls of said balloon has a number of interconnected

34

3 parts defining transversely circular, generally cylindrical  
4 compartments.

1 36. A balloon as set forth in Claim 1, wherein  
2 the balloon is kidney bean shaped and has a number of spaced  
3 strings extending between the opposed sides of the balloon,  
4 said strings defining restraints.

1 37. A balloon as set forth in Claim 1, wherein  
2 said balloon body includes a pair of stacked doughnut shaped  
3 members, each member having a pair of opposed sides, a  
4 string extending between each pair of sides, respectively,  
5 to present a restraint for the balloon body.

1 38. A balloon as set forth in Claim 1, wherein  
2 the balloon body is spherical.

1 39. A balloon as set forth in Claim 1, wherein  
2 the balloon body approximates a hemisphere.

1 40. A balloon as set forth in Claim 1, wherein  
2 the balloon body approximates a pyramid.

1 41. A balloon as set forth in Claim 1, wherein a  
2 portion is modified to further compress cancellous bone.

1 42. A balloon as set forth in Claim 41, wherein  
2 the modification is designed to compress cancellous bone  
3 into the shaft of a long bone.

1 43. A balloon as set forth in Claim 42, wherein  
2 the balloon body has a base.

1 44. A balloon as set forth in Claim 43, wherein  
2 the balloon body is a sphere on a base.

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1 45. A balloon as set forth in Claim 40, wherein  
2 the balloon body approximates the shape of a banana.

1 46. A balloon as set forth in Claim 45, wherein  
2 the balloon body approximates the shape of a humpback  
3 banana.

1 47. A balloon as set forth in Claim 1, wherein a  
2 suction device is attached to remove debris.

1 48. A balloon as set forth in Claim 1, wherein  
2 the balloon body is protected from puncture during inflation  
3 by a guard member that covers the cortical bone and extends  
4 into the cancellous bone without preventing the balloon from  
5 inflating.

1 49. A non-expandable balloon device suitable for  
2 compressing cancellous bone comprising:

3 a hollow, flexible, inflatable, non-expandable  
4 balloon body having a shape approximating a configuration  
5 taken from the group including a square, a rectangle, an  
6 oblong member and a cylinder, said body having a shape, when  
7 substantially fully expanded, to form a pair of sides, said  
8 body having restraints operable to cause the sides to be  
9 substantially parallel with each other when inflated.

1 50. A device as set forth in Claim 49 wherein  
2 said body has a number of corners, said corners defining at  
3 least one of the restraints.

1 51. A device as set forth in Claim 49 wherein  
2 said body includes a number of stacked body parts having  
3 means for coupling the body parts together, the coupling  
4 means of said body parts defining the restraint.

36

1           52. A device as set forth in Claim 49 wherein is  
2 included walls with additional thickness in the body, said  
3 thickness defining the restraints.

1           53. A device as set forth in Claim 49 wherein is  
2 included a number of strings extending between the sides of  
3 the balloon body, said strings defining said restraints.

1           54. A device as set forth in Claim 49, wherein is  
2 included a winding, said winding creating a restraint.

1           55. A device as set forth in Claim 49, wherein is  
2 included a meshwork, said meshworking created by a  
3 restraint.

1           56. A device as set forth in Claim 49, wherein is  
2 included bonding of portions of the body, said bonding  
3 creating a restraint.

1           57. A device as set forth in Claim 49 wherein th  
2 material of the body is taken from the group consisting of  
3 polyethylene tetraphthalate (PET) or Kevlar.

1           58. A balloon as set forth in Claim 49, wherein a  
2 suction device is attached.

1           59. A balloon as set forth in Claim 49, wherein  
2 the balloon body is covered by a guard member.

1           60. An inflatable device for insertion into a  
2 cortical bone to be treated for cavity formation and for  
3 compacting the bone marrow and trabecular bone thereof  
4 comprising:

5           a balloon body having flexible non-expandable wall  
6 means for conforming to the shape of the inner cortical

37

7 surface of the bone to be treated, said wall means including  
8 a generally continuous side wall and a pair of opposed end  
9 walls integral with the side wall, said balloon having a  
10 fluid duct for directing an inflating liquid into the  
11 balloon, whereby the balloon can be inflated, said walls  
12 extending outwardly and into engagement with the inner  
13 surface of the bone to be treated and into compacting  
14 relationship to the cancellous bone.

1 61. An inflatable device as set forth in  
2 Claim 60, wherein is included restraints, the end walls of  
3 the balloon being held by said restraints when the balloon  
4 body is inflated.

1 62. An inflatable device as set forth in  
2 Claim 60, wherein the side wall of the balloon body is held  
3 together by restraints.

1 63. An inflatable device as set forth in  
2 Claim 60, wherein said balloon is doughnut shaped.

1 64. An inflatable device as set forth in  
2 Claim 60, wherein said balloon body includes a central,  
3 substantially spherical part and a ring-shaped part  
4 surrounding the spherical part.

1 65. An inflatable device as set forth in  
2 Claim 60, is included a number of elongated balloon units  
3 defining said balloon, and restraint means coupling the  
4 balloon units together to restrict the expansion thereof in  
5 the direction transverse to the longitudinal axes of the  
6 units.

1 66. An inflatable device as set forth in  
2 Claim 60, wherein the balloon body is formed of a pair of

38

3 hollow, stacked inflatable parts, each part having a pair of  
4 opposed sides and means bonding the adjacent sides of the  
5 parts together as a unit.

1 67. An inflatable device as set forth in  
2 Claim 44, wherein the balloon parts have a circular outer  
3 periphery.

1 68. An inflatable device as set forth in  
2 Claim 44, wherein the balloon parts are doughnut shaped.

1 69. An inflatable device as set forth in  
2 Claim 44, wherein is included a catheter tube between said  
3 balloon parts for removing fats and debris from a location  
4 between said parts.

1 70. An inflatable device as set forth in  
2 Claim 60, wherein the balloon is kidney shaped.

1 71. An inflatable device as set forth in  
2 Claim 60, wherein the balloon has a number of restraints  
3 interconnecting the end walls to limit expansion.

1 72. An inflatable device as set forth in  
2 Claim 60, wherein the end walls of said balloon has a number  
3 of interconnected parts defining transversely circular,  
4 cylindrical compartments.

1 73. An inflatable device as set forth in  
2 Claim 60, wherein the balloon is kidney shaped and has a  
3 number of spaced strings extending between the opposed sides  
4 of the balloon, said strings defining restraints.

1 74. An inflatable device as set forth in  
2 Claim 60, wherein said balloon includes a pair of stacked



3 doughnut shaped members, each member having a pair of  
4 opposed sides, a string extending b tween each pair of  
5 sides, respectively, to present restraints for the balloon.

1 75. An inflatable device as set forth in  
2 Claim 60, wherein the balloon body is pyramid-shaped.

1 76. A method for treating a bone predisposed to  
2 fracture or to collapse, or fractured or collapsed,  
3 comprising:

4 providing a hollow, collapsible, inflatable, non-  
5 expandable cavity former;

6 inserting the cavity former while collapsed into  
7 said bone with said cavity former having a predetermined  
8 shape and size, when substantially fully inflated;

9 inflating the cavity former to a sufficient fluid  
10 pressure to compress at least a portion of the inner  
11 cancellous bone;

12 forming a cavity in the cancellous bone as a  
13 function of the inflating step wherein said cavity will have  
14 the predetermined shape and size appropriate for the bone to  
15 be treated; and

16 restraining the cavity former to create said  
17 predetermined shape and size, preventing the cavity former  
18 from applying excessive pressure to the inner surface of the  
19 cortical bone if said bone is unfractured or uncollapsed.

1 77. A method as set forth in Claim 76, wherein  
2 said restraining step includes forming substantially  
3 parallel sides for said cavity former when the space has  
4 been inserted in the cortical bone.

1 78. A method as set forth in Claim 76, wherein  
2 said cavity former is capable of withstanding internal fluid  
3 pressures within the cortical bone of 50 to 400 psi.

40

1           79. A method as set forth in Claim 76, wherein  
2 said inserting step includes placing the cavity former in  
3 the distal radius to adapt the cavity for use in treating a  
4 radius fracture.

---

1           80. A method as set forth in Claim 76, wherein  
2 said inserting step includes placing the cavity former in  
3 the proximal tibia to adapt the cavity for use in treating a  
4 tibial fracture.

1           81. A method as set forth in Claim 76, wherein  
2 the inserting step includes placing the cavity former in the  
3 proximal humerus to adapt the cavity for use in treating a  
4 proximal humerus fracture.

1           82. A method as set forth in Claim 76, wherein  
2 the inserting step includes placing the cavity former in th  
3 femoral head to adapt the cavity for use in treating  
4 necrosis of the femoral head.

1           83. A method as set forth in Claim 76, wherein  
2 the inserting step includes placing the cavity former in the  
3 vertebral body for treating a vertebral body fracture.

1           84. A method as set forth in Claim 76, wherein  
2 the restraining step includes providing a winding bonded to  
3 the cavity former to reinforce critical areas of the  
4 circumference of the cavity former.

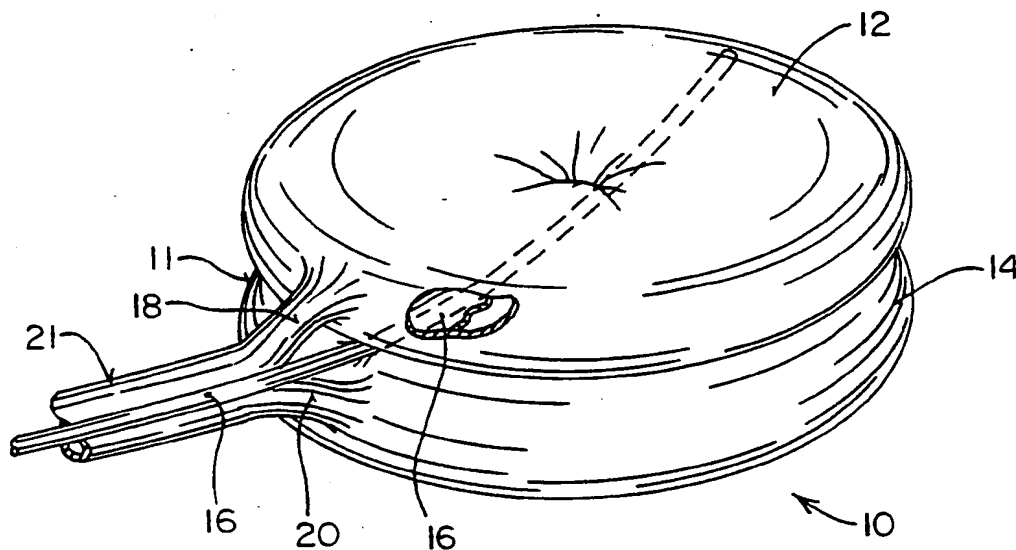


FIG. 1

VERTEBRAL BODY  
STACKED DOUGHNUT

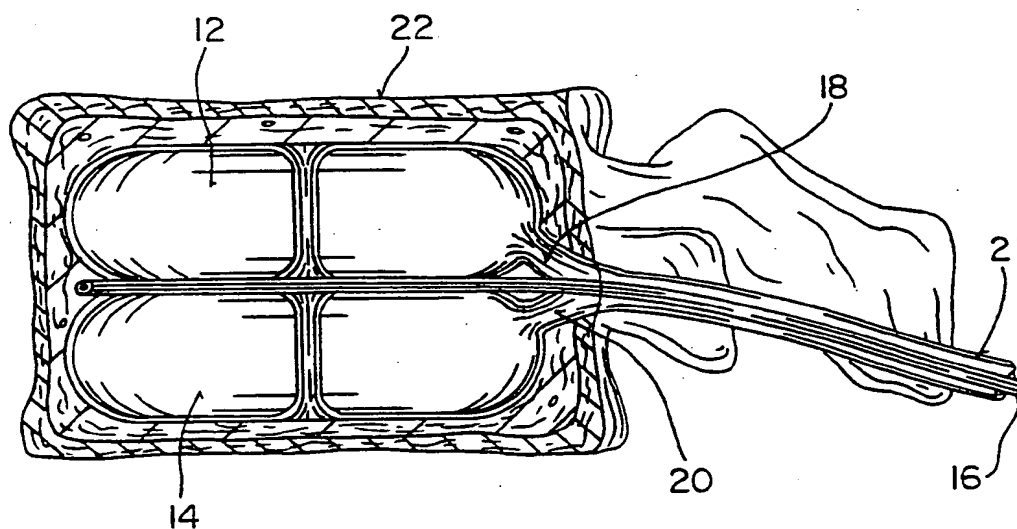


FIG. 2

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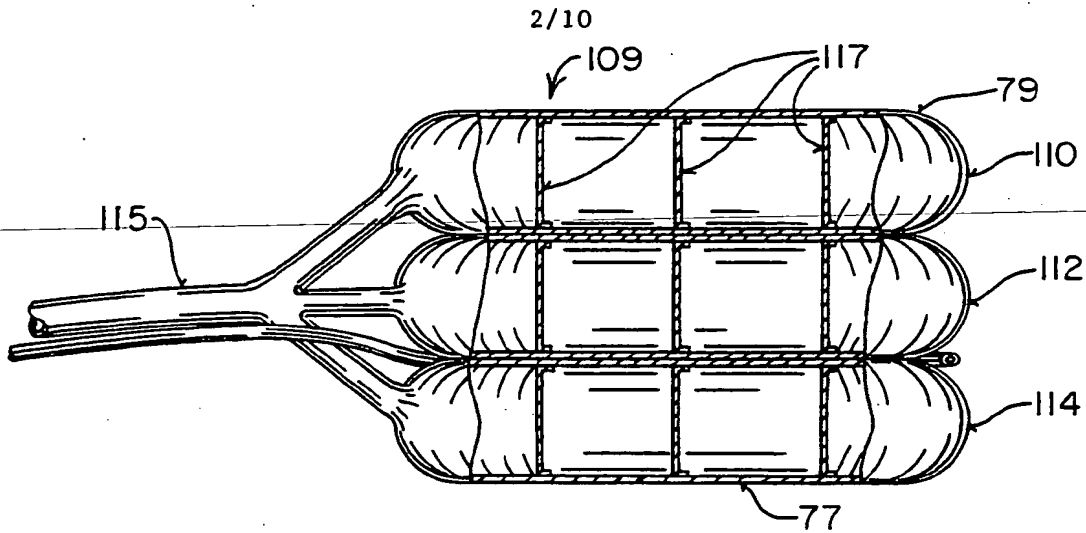


FIG. 3

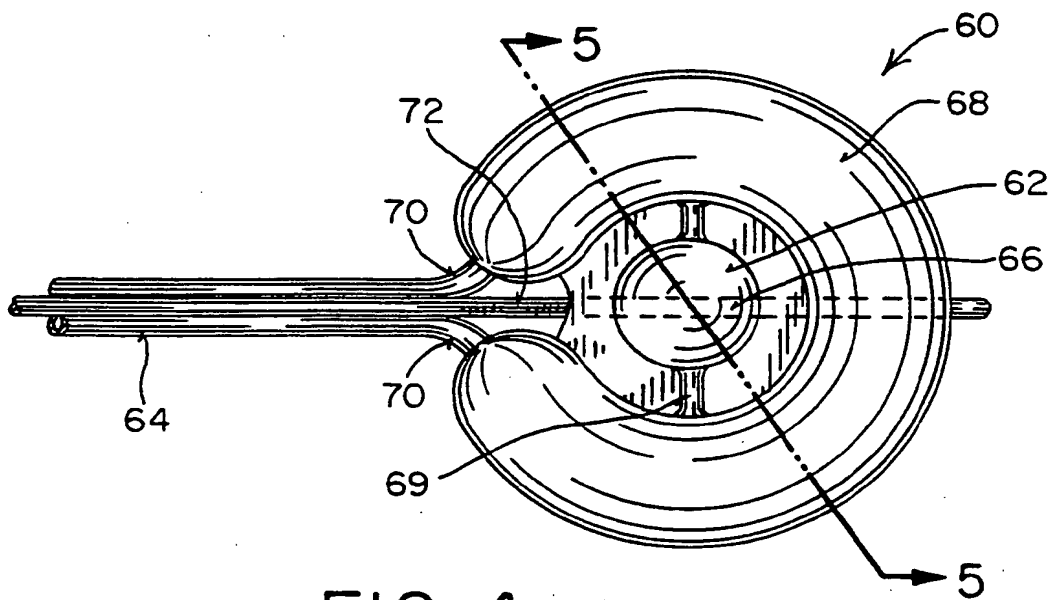


FIG. 4

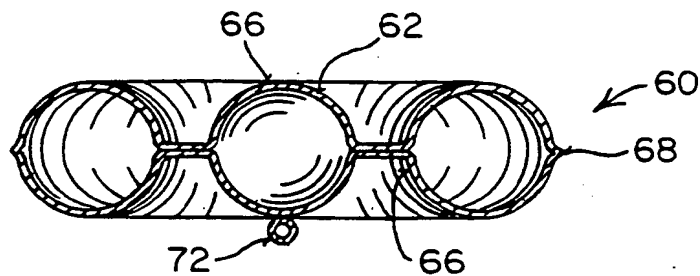


FIG. 5

SUBSTITUTE SHEET (RULE 26)

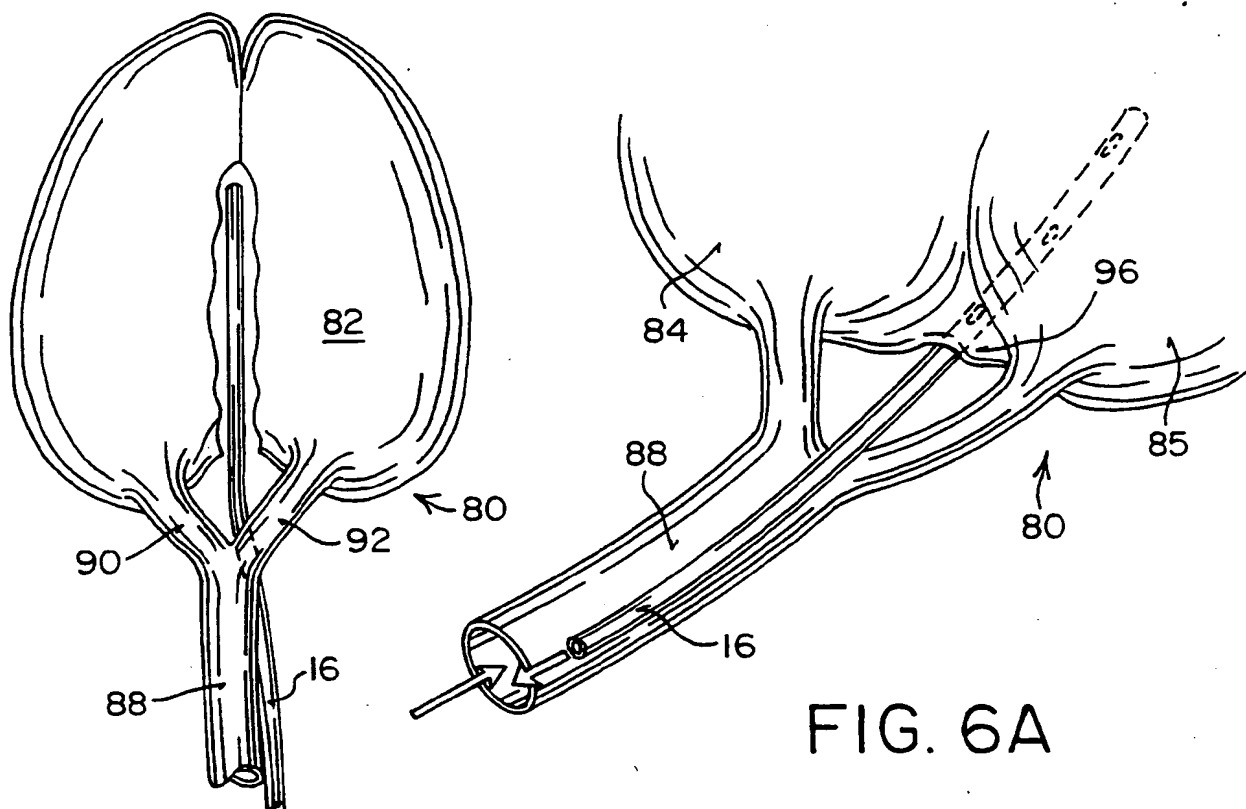


FIG. 6

FIG. 6A

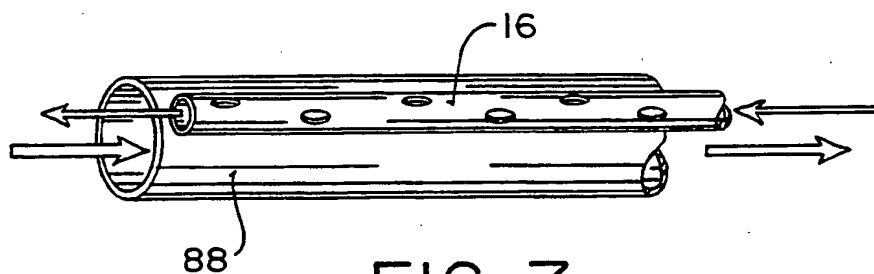


FIG. 7

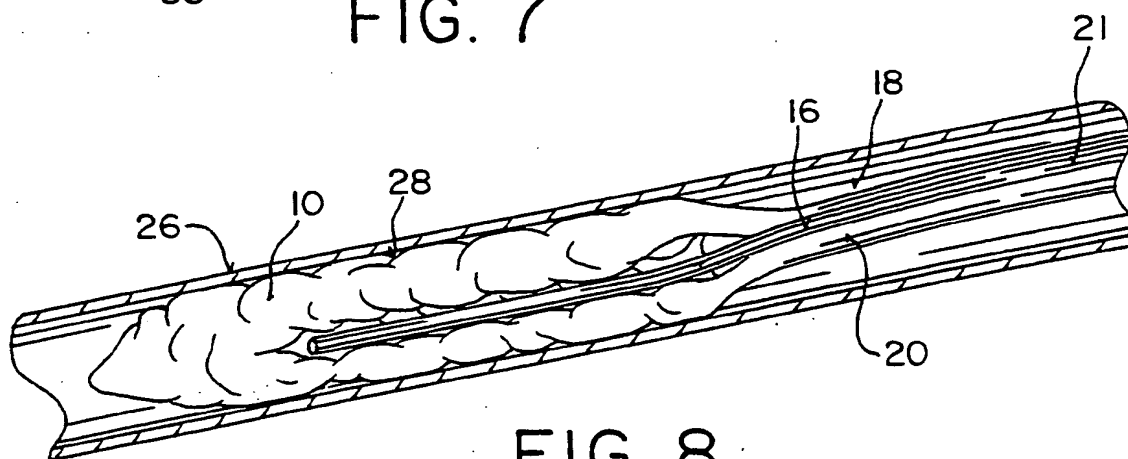


FIG. 8

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FIG. 9

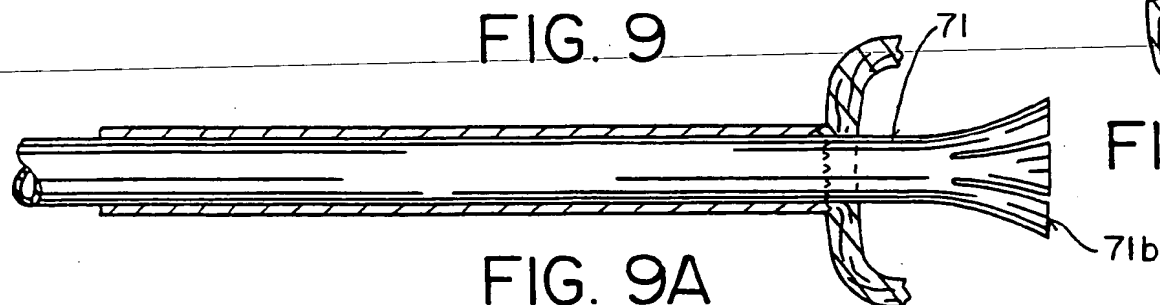


FIG. 9A

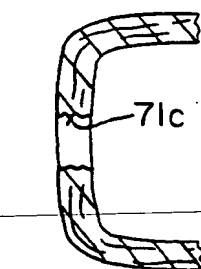


FIG. 9B

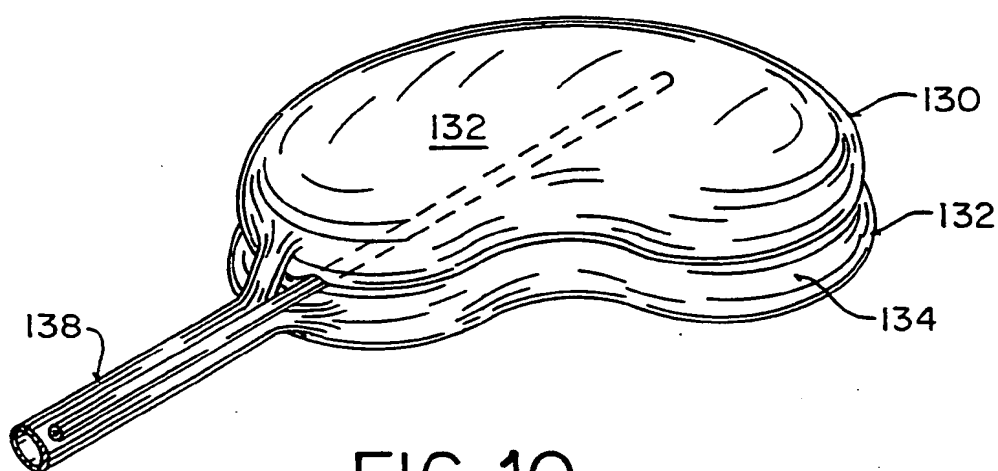


FIG. 10

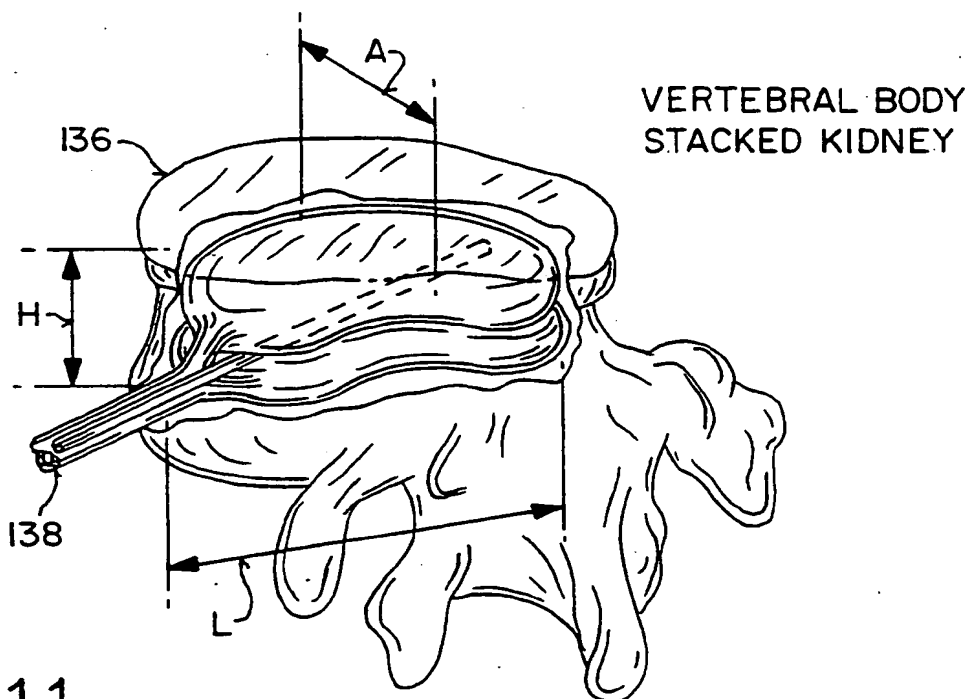


FIG. 11

SUBSTITUTE SHEET (RULE 26)

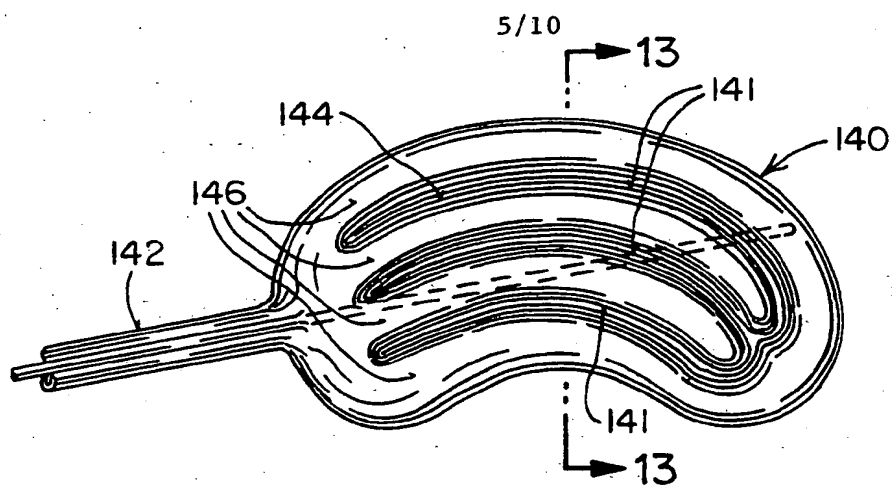


FIG. 12

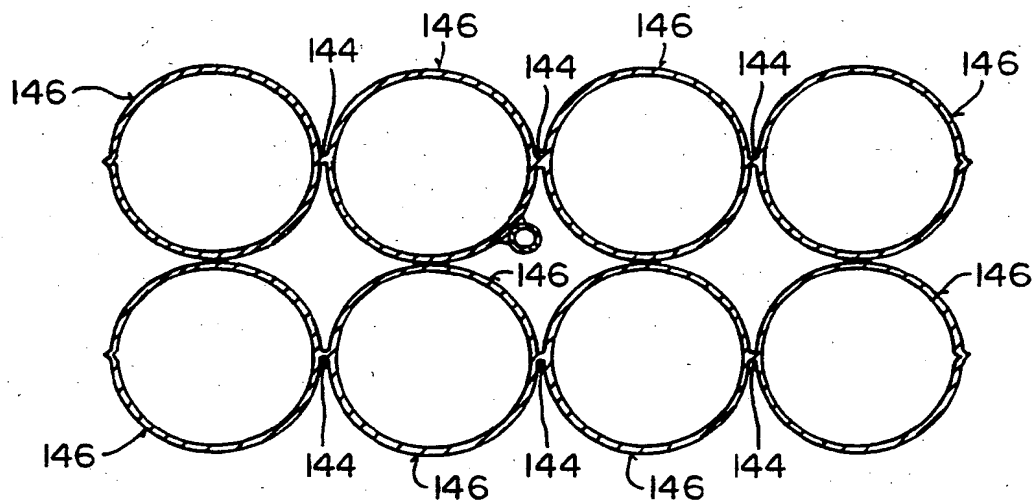


FIG. 13

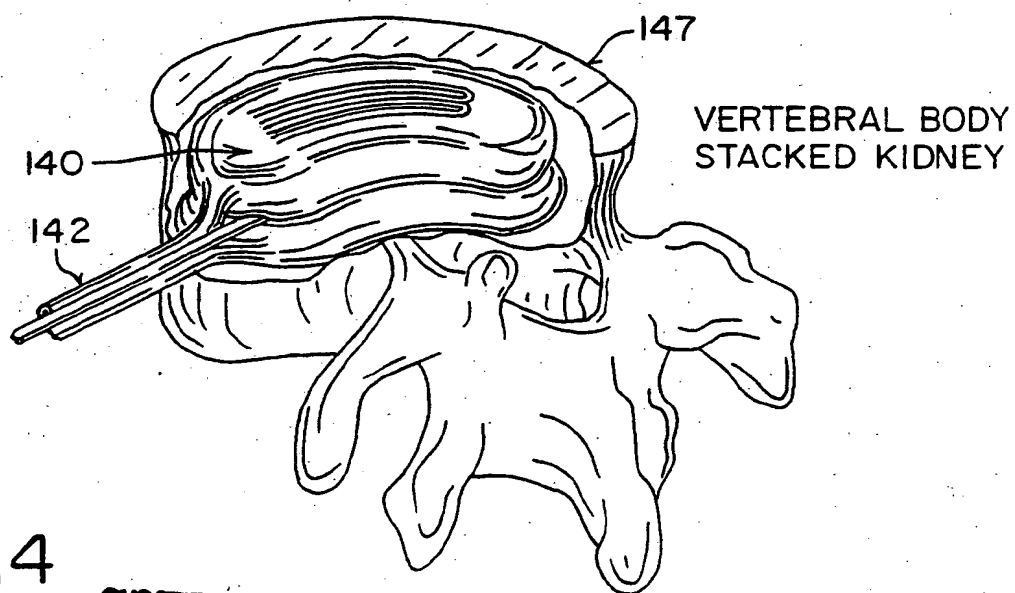


FIG. 14

SUBSTITUTE SHEET (RULE 26)

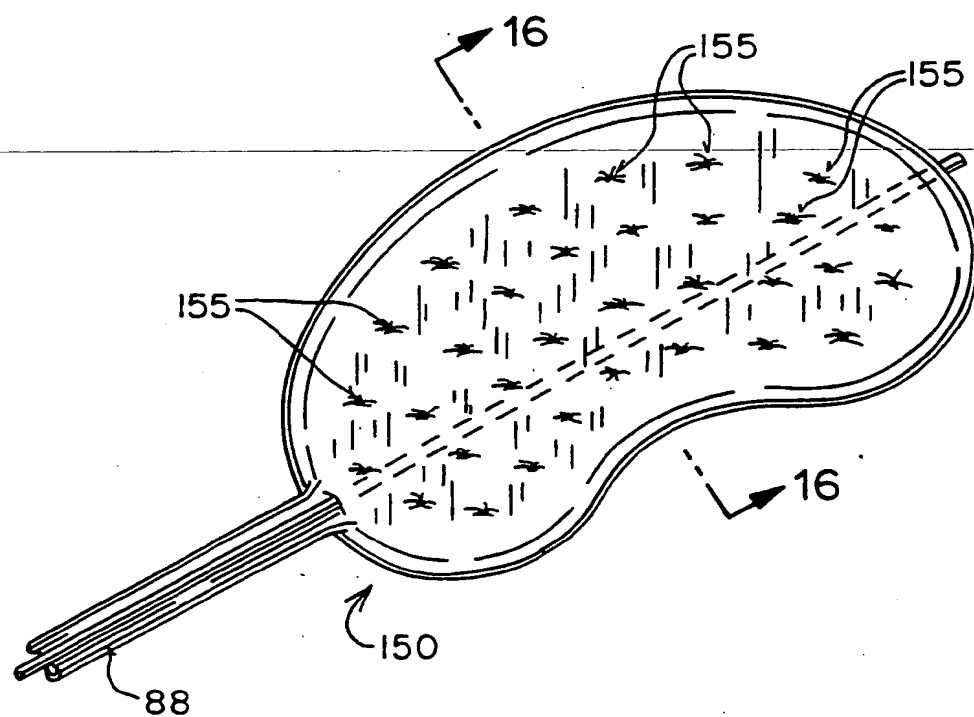


FIG. 15

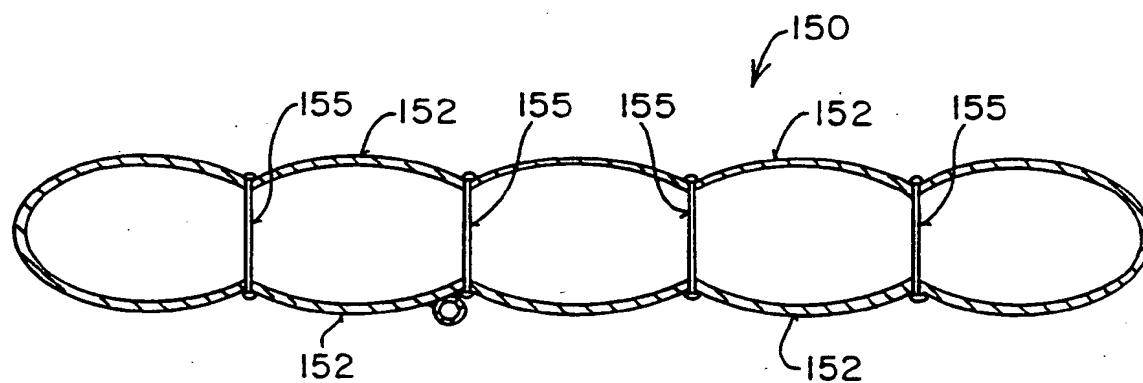


FIG. 16



7/10

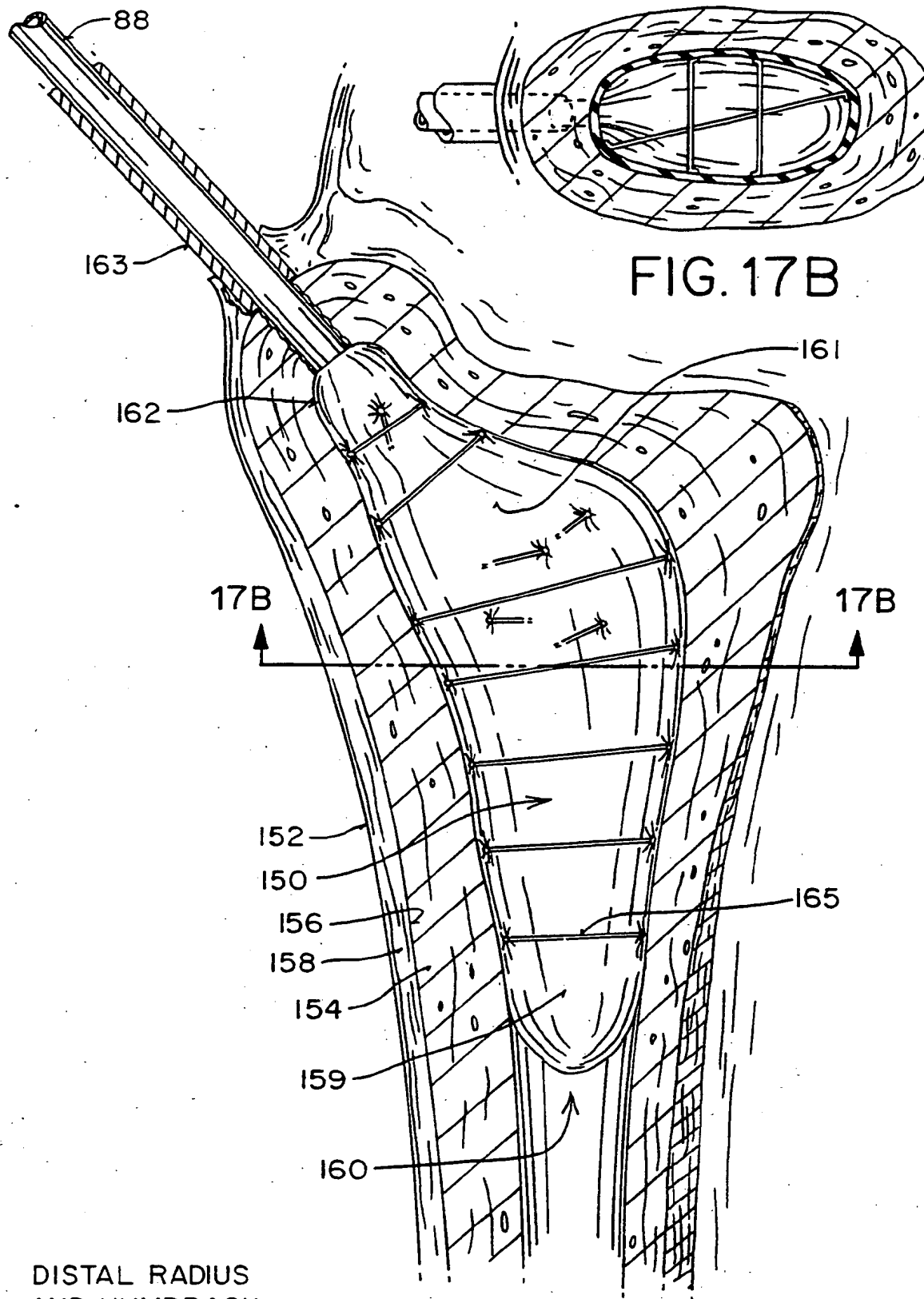
DISTAL RADIUS  
AND HUMPBACK  
BANANA BALLOON

FIG. 17A

**SUBSTITUTE SHEET (RULE 26)**

8/10

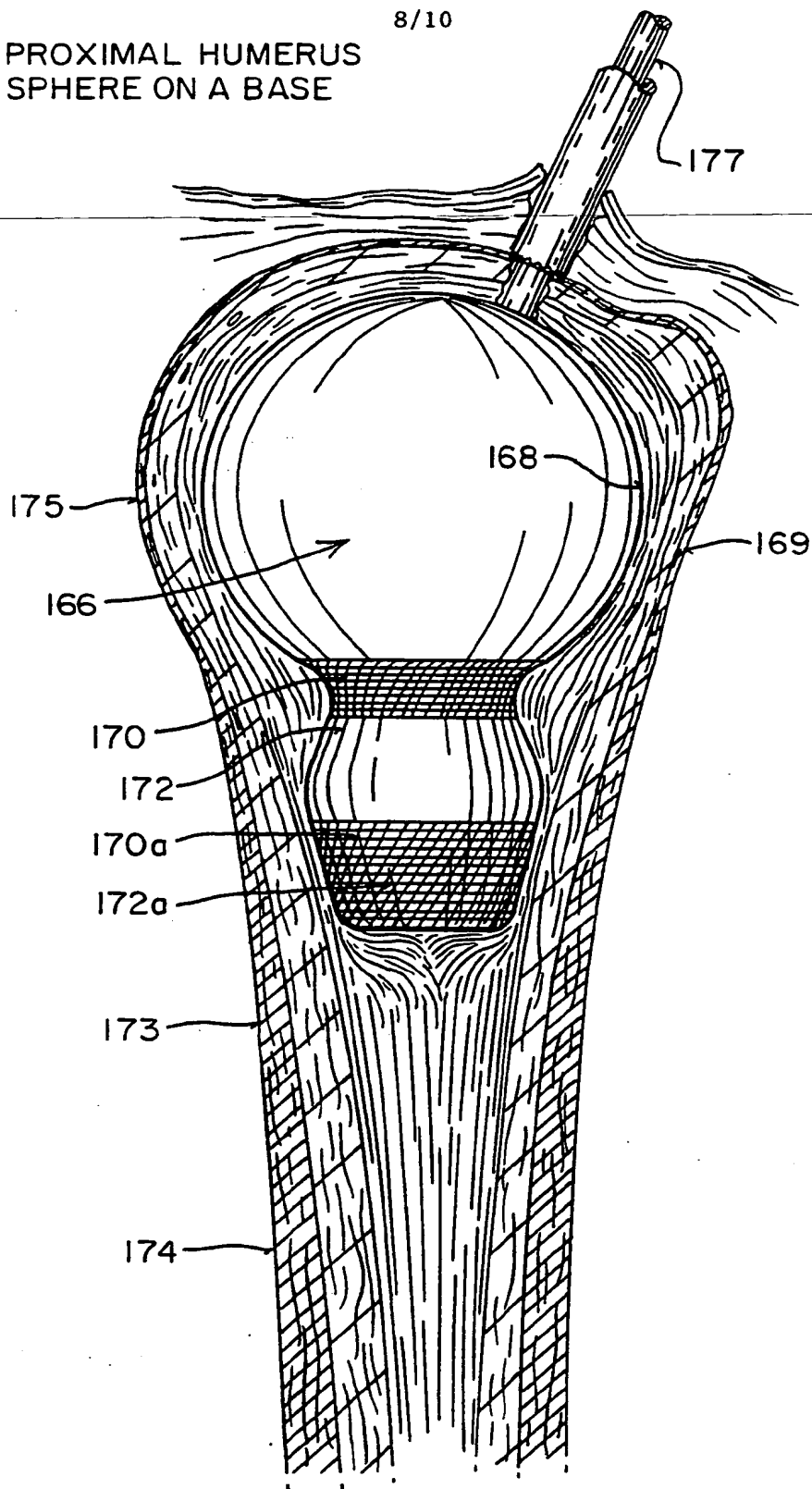
PROXIMAL HUMERUS  
SPHERE ON A BASE

FIG. 18  
SUBSTITUTE SHEET (RULE 26)

9/10

ELLIPTICAL CYLINDER  
BALLOON FOR TIBIAL  
PLATEAU FRACTURES

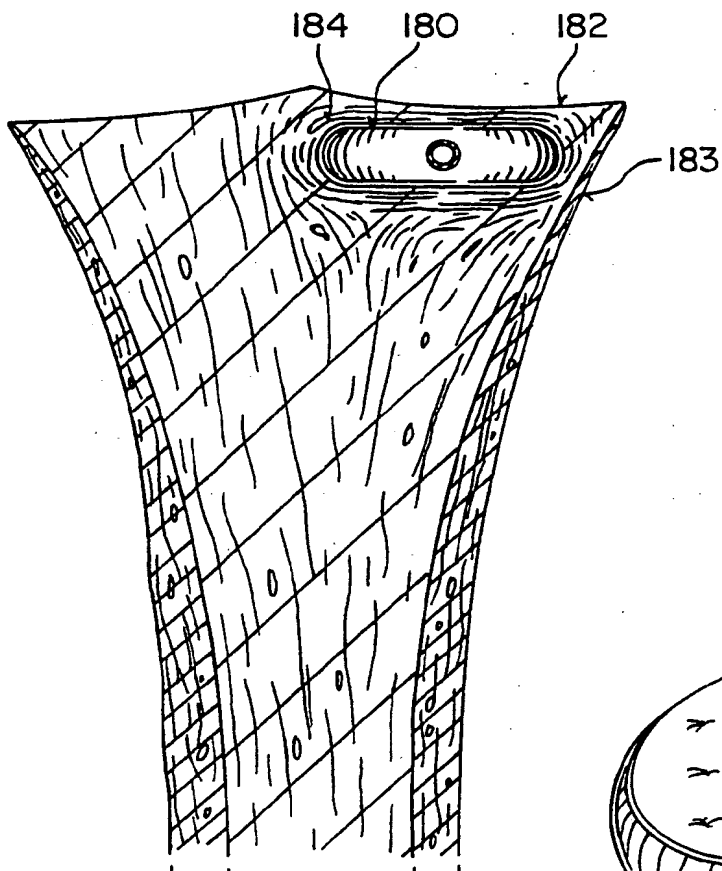


FIG. 19A

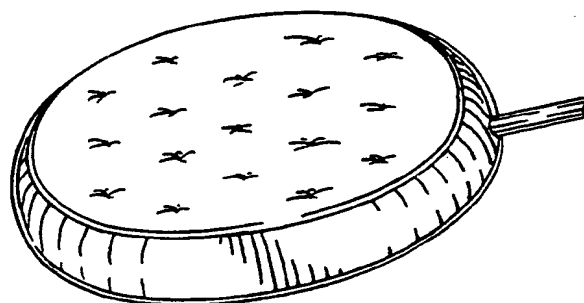


FIG. 19B

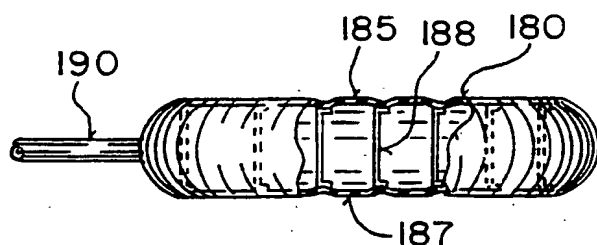


FIG. 19C

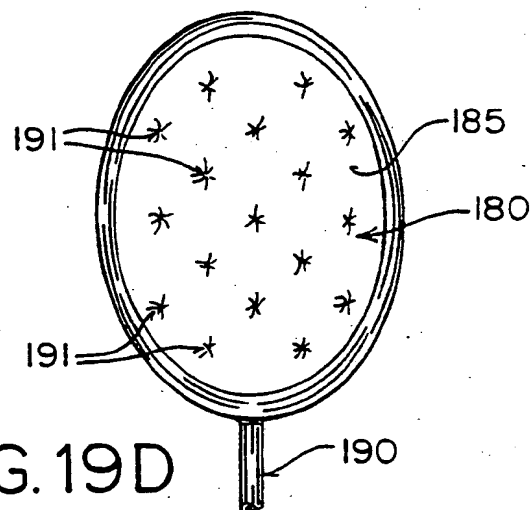


FIG. 19D

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10/10

FEMORAL HEAD  
SPHERICAL BALLOON

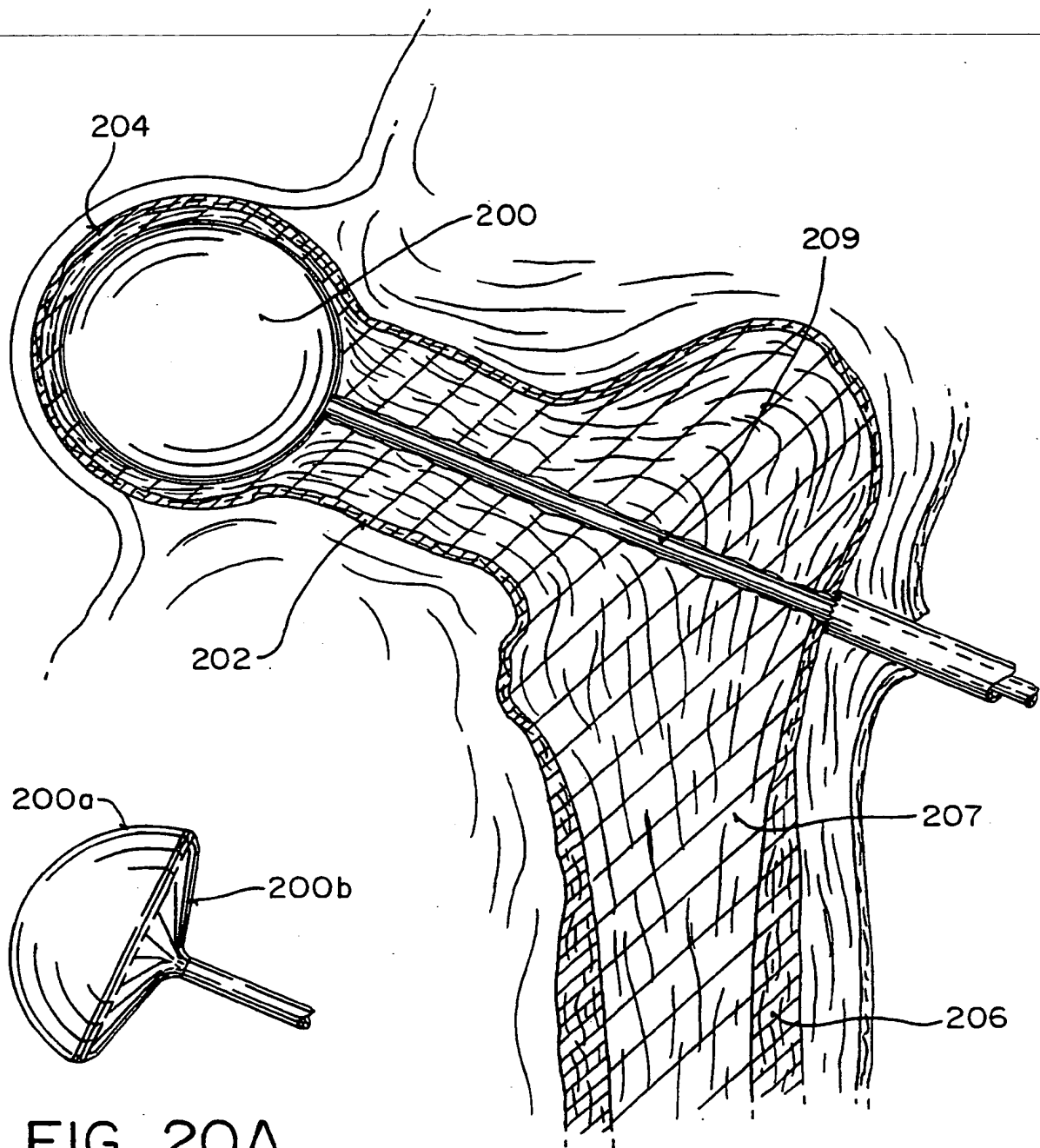


FIG. 20A

FIG. 20

SUBSTITUTE SHEET (RULE 26)

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/01011

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61B 17/68, 17/88  
US CL : 606/60, 86, 192

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/53, 60, 86, 191, 192; 623/7, 8

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category*        | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No.                               |
|------------------|--|---|
| X<br>---, P<br>Y | US, A, 5,331,975, (BONUTTI), 26 July 1994. See Figs. 1-9.                          | 1-3, 23, 24, 28, 38-40, 49, 56, 57, 59-63, 71, 72   |
| Y                | US, A, 5,108,404, (SCHOLTEN ET AL.), 28 April 1992. See Figs. 16-34.               | 1, 4, 6, 8-22, 41-44, 48, 60, 67-69, 76-84          |
| X<br>---, P<br>Y | US, A, 5,361,752, (MOLL ET AL.), 08 November 1994. See Figs. 1-10.                 | 1, 5, 30-32, 34, 35, 37, 47, 49, 51, 58, 60, 65, 66 |

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

|  |     |  |
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| * Special categories of cited documents: | * T | later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  |
| * A                                      |     | document defining the general state of the art which is not considered to be part of particular relevance  |
| * E                                      | * X | document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone   |
| * L                                      |     | document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  |
| * O                                      | * Y | document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
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|  |     | document published prior to the international filing date but later than the priority date claimed   |
|  |     | document member of the same patent family  |

Date of the actual completion of the international search

04 APRIL 1995

Date of mailing of the international search report

16 MAY 1995

Name and mailing address of the ISA/US  
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Authorized officer

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Telephone No. (703) 308-3595

Form PCT/ISA/210 (second sheet)(July 1992)\*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/01011

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| Y, P      | US, A, 5,330,429, (NOGUCHI ET AL.), 19 July 1994. See Figs. 3 and 4.               | 8, 9, 54, 55, 84      |
| Y         | FR, A, 439 636, (CHARNAUX), 10 October 1912. See Figs. 2                           | 25-27                 |
| A         | US, A, 4,313,434, (SEGAL), 02 February 1982.                                       | 1-84                  |
| A         | US, A, 5,176,692, (WILK ET AL.), 05 January 1993.                                  | 1-84                  |

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*